



TRANSMITTAL LETTER				Case No. 8285/488
Serial No. 10/038,502	Filing Date December 20, 2001	Examiner Not Yet Assigned	Group Art Unit 2184	
Inventor(s) COSTA				
Title of Invention METHOD AND SYSTEM FOR PROVIDING CONTROLLED IMPAIRMENTS TO AN OPTICAL TRANSPORT SYSTEM				

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 6 U.S. References; Postcard Receipt.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- Petition for a \_\_\_\_\_ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		Other Than Small Entity	
Rate	Add'l Fee	Rate	Add'l Fee
x \$9 =		x \$18 =	
x 42 =		x \$84 =	
+ \$140 =		+ \$280 =	
Total add'l fee		Total add'l fee	

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

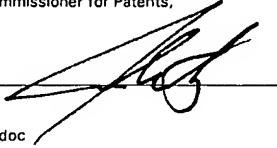
Respectfully submitted,

  
Joseph F. Heitz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 2, 2002.

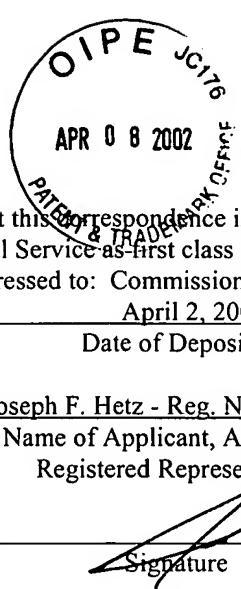
Date: April 2, 2002

Signature: 

rev. Dec.-00

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Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of Applicant, Assignee or  
Registered Representative

  
Signature

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Technology Center 2100

Our Case No. 8285-488

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	
Costa	)	
	)	Group Art Unit: 2184
Serial No.:	10/038,502	)
	)	
Filed:	December 20, 2001	)
	)	
For:	Method and System for Providing	)
	Controlled Impairments to an	)
	Optical Transport System	)

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

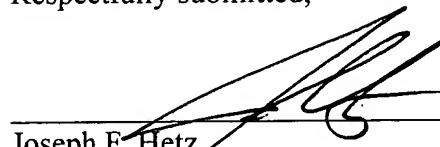
Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit documents A1-A6 listed on the attached form PTO-1449 for consideration by the Examiner. Copies of these documents are enclosed. The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

Further, Applicants reserve the right to contest that any of these documents is prior art against the present application.

Dated: April 2, 2002

Respectfully submitted,



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Joseph F. Hetz  
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Attorney for Applicants

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FORM PTO-1449 <i>PATENT &amp; TRADEMARK</i>	SERIAL NO. 10/038,502	CASE NO. 8285/488
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE December 20, 2001	GROUP ART UNIT 2184
(use several sheets if necessary)	APPLICANT(S): COSTA	

## **REFERENCE DESIGNATION**

## **U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

FOREIGN PARENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.